



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80762

Katsumi Araki

Appln. No.: 10/813,622

Group Art Unit: 1626

Confirmation No.: 3823

Examiner: Unknown

Filed: March 31, 2004

For:

AZO COMPOUND, COLORANT-CONTAINING CURABLE COMPOSITION, COLOR FILTER AND COLOR FILTER PRODUCTION METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/813,622

Page 2

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3)

for foreign language documents, Applicant submits the following explanations: English

language abstracts, submitted herewith, constitute concise statements of relevance for

Japanese Patent Application Laid-Open Nos. 06-184482 and 09-291241, also for Polish

Patent No. 101,484. Also, these references are mentioned in the specification at pages 4,

6, and 2, respectively.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

DM/lck

**Date: August 13, 2004** 

2

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSUSE

STATEMENT BY APPLICANT

Sheet

Complete if Known

Application Number 10/813,622

Confirmation Number 3823

Filing Date March 31, 2004

First Named Inventor Katsumi Araki

Art Unit 1626

Examiner Name Unknown

Attorney Docket Number Q80762

(use as many sheets as necessary)

of

			U.S. I	PATENT DOCUME	ENTS
Examiner Initials*	Cite No.1	Document Number		Publication Date	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Fatence of Applicant of Cited Bottomer
		US			
		US		<u> </u>	
		US			
		US			

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	
		JP	09-291-241	A	11/11/1997	NIPPON KAYAKU CO., LTD.	Abstract
		JP	06-184482	A	07/05/1994	CHISSO CORP.	Abstract
		PL	101,484	P	03/31/1979		Abstract
			L	<del>-</del>			
		<u> </u>		_			
		<del> </del>			<del>                                     </del>		
· ·			<del> </del>				
				1			
		<del>                                     </del>					
<u> </u>							

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	CARLES LETTERS title of the exticle (when appropriate), title of the item (book, magazi	
		<del> </del>
		. I white a second and the second pumber(s) mublisher city, and/or country where published.

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.